

\$5&\$',6
1HZ .DUQHU 5R
)LUVW)ORRU
\$OEDQ\
1HZ <RUN
7HO
)VHUYDWLRQ
\$OEDQ\
1HZ <RUN
(19,5210(17\$/

6XEMHFW
7DQN 6WDWXV 5HSRUW 5HYLVHG
)RUPHU /RFNKHHG 0DUWLQ)UHQFK 5RDG)DFLOLW\
)UHQFK 5RDG 1HZ <RUN
'DWH
'HFHPEHU
5HYLVHGFK

'H DU 05RVHQP DQQ
8QLW 6:08 PDWUL[ZKLFK LGHQWLILHG SRWHQWLDO DUHQV\RJ LQYHVW
6:08 PDWUL[7DEOH IURP WKH 3KDVH , (QYLURQPHQWDO 6LWH \$VVHV
(6\$ %ODVODQG %RXFN DQG /HH ZDV LQFOXGHG DQG LGHQWLILHG
WDQNV IRU WKH IRUPHU /RFNKHHG 0DUWLQ IDFLOLW\ 2I WKHVH\ W DQ
EHLQJ FORVHG DQG RU UHPRYHG 7HQ RI WKH WDQNV KRZHYHU ZH
XVH' DQG WKHLU VWDWXV ZDV QRW FRQILUPHG SULRUWR WKH VXEPLV
WR WKH 1<6'(& 7KLV OHWWHU UHSRUW KDV EHHQ SUHSDUHG WR QVW
WKHVH WHQ WDQNV DQG DGGUHVV ZKDW KDV EHHQ LQFOXGHG DW
\$2& LQ \$WWDFKPHQW &RUUHFVLYH 0HDVXURQ ,PSOHPHQWDWLRQ 3
&RQVHQW
2XU UHQFH
1-

FOIL Request and File Review

\$5&\$',6 VXEPLWWHG D)UHHGRP RI ,QIRUPDWLRQ /DZ)2,/ UHTXHVW WR
WR UHYLHZ ILOHV SHUWLQHQQ WR WKH IRUPHU /RFNKHHG 0DUWLQ IDFL
\$5&\$',6 UHYLHZHG ILOHV DW WKH 1<6'(& RIILFH LQ 8WLF 1HZ <RUN)L
1<6'(& :DWHUWRZQ RIILFH ZHUH DOVR UHSURGXFHG E\ WKDW RIILFH DQ
\$5&\$',6 7KLVH ILOHV LQFOXGHG WDQN VWDWXV LQIRUPDWLRQ DV ZHO
DFWLYH UHPHGLDO SURMHFW LQIRUPDWLRQ DLU SHUPLWWLQJ GRFXPH
FRUHVSRQGQFH SHUWDLQLQJ WR WKH VLWH 7KRVH GRFXPHQWV GH
DVVRFLDWHG VSHFLILFDOO\ ZLWK WKH VWDWXV RI WKH WDQNV LQ TX
FRSLHG LI DSSURSULDWH

Site Inspection and Employee Interviews

)ROORZLQJ WKH UHYLHZ RI ILOHV JHQHUDWHG IURP WKH 1<6'(&)2,/ UHT
FRPSOHWHG D VLWH YLVW WR FRQILUP GRFXPHQDWLRQ GLVFRYHUHG
DV ZHOO DV WRRUWHV LILHG EXUUHQW &RQHG &RUSRUDWLRQ VWDII ZK
SUHYLRXVO\ ZRUNHG IRU RU KDYH D ZRUNLQJ NQRZOHGJH RI RSHUDWLRQ
LW ZDV RZQHG DQG RSHUDWHG E\ /RFNKHHG ODUWLQ 7ZR FXUUHQW HP
LGHQWLILHG DQG TXHVWLRQHG DV SDUW RI WKH WDN VWDWXV LQYHV

SURYLGH D GHWHUPLQDWLRQ DV ZHOO DV UHFRPPHQGDWLRQ RQ IXWXU
SDUW RI IXWXUH FRUUHFWLYH DFWLRQV

7KLV VXPPDU\ UHSRUW FRPSOHWHV UHTXLUHPHQWV IRU \$2& LQ \$WWDI
RQ &RQVHQW &2 &RUUHFVLYH 0HDVXUHV ,PSOHPHQWDWLRQ
VXPPDU\ RI WKLV LQYHVWLJDWLRQ ZLOO DOVR EH LQFOXGHG ZLWKLQ W
6WXG\ 5HSRUW IRU WKH VLWH DV UHTXLUHG E\ WKH 2UGHU RQ &RQVHQ

6LQFHUHO\

\$5&\$',6



-HIIUH\ - %RQVWHHO
3URMHFW 6FLHQWLVW

\$WWDFKPHQWV
)LJXUH

&RSLHV
&KULV 0RWWD \$5&\$',6
7RP %ODFNPDQ /0&
*UHJ 5\ 1<6'2+ +HUNLPHU
3DXO 5DJXVD &RQ0HG
)LOH

